

May 5, 2021

The University of Tennessee's Export Control Statement of Commitment

Colleagues,

The United States government regulates the distribution (both domestically and abroad) of strategically important products, services, technology, and information to foreign nationals and foreign countries – for reasons of foreign policy, national security, and protection of United States' commerce – under a complex set of regulations collectively referred to as Export Control. The penalties for export control violations are both civil and criminal in nature and will be applied to you personally as well as the institution under certain circumstances. These penalties include federal prison time and fines reaching as high as \$1,000,000 per violation. Non-compliance with export control laws could also result in disciplinary action by the university. The University of Tennessee is committed to the highest level of compliance with all applicable export control laws and regulations that pertain to the conduct and dissemination of our research and to the export, whether through transfer or sale, of tangible items such as equipment, components or materials. While our primary mission is education, research and dissemination of knowledge, the responsibility remains to balance the service of this mission with safeguarding national assets through adherence to the export regulations, rules and laws of our country.

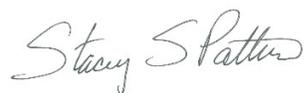
Campus Export Control Offices have developed tools and resources on their websites to assist the university community with export control compliance including export control determinations:

- Chattanooga: <https://new.utc.edu/research/research-integrity/export-control>
- Health Science Center: <https://uthsc.edu/research/compliance/export-control/>
- Knoxville, Institute of Agriculture (UTIA), Institute for Public Service & Space Institute: <https://exportcontrol.utk.edu>
- Martin: <https://www.utm.edu/export/>

Please utilize these sites and the resources to find information concerning your responsibilities for export control compliance, including shipping, deemed export considerations, and other utilities. Faculty Awareness Workshops also are an ongoing part of our Export Control Management programs, available to individual researchers and teams along with university departments upon request and as new developments emerge. The campus Export Control Officers (ECOs) are knowledgeable and available to assist with implementation and management of the various policies and procedures of the institution related to export controls as well as their underlying federal regulations, rules and laws. The ECOs can be reached directly at:

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|--------------------------------|--------------|----------------------|--|
| • Chattanooga | 423-425-4443 | David Deardorff | David-Deardorff@utc.edu |
| • Health Science Center | 901-448-2164 | John Denton | jdenton3@uthsc.edu |
| • Knoxville & UTIA | 865-974-0232 | Chris Godfrey | jgodfre8@utk.edu |
| • Institute for Public Service | 865-974-6621 | Thaddeus Grace | Thaddeus.Grace@utk.edu |
| • Martin | 731-881-7015 | Vicki Seng | vseng@utm.edu |
| • Space Institute | 931-393-7260 | Scott Van Zandbergen | svanzand@utsi.edu |

Visit your campus export control website often for updates and contact your campus ECO at the first sign of possible applicability of export control.



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